

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO. FILING DATE		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/452,927 12/02/1999		12/02/1999	DAVID SEAGER RENSHAW	UK999029	1912
25259	7590	03/13/2002			
IBM COR			EXAMINER		
	/B503, P	O BOX 12195	KENDALL, CHUCK O		
REASEAR	REASEARCH TRIANGLE PARK, NC 27709				PAPER NUMBER
				2122	
				DATE MAILED: 03/13/2002	

Please find below and/or attached an Office communication concerning this application or proceeding.

W

	Application No.	Applicant(s)						
	09/452,927	RENSHAW, DAVID SEAGER						
Office Action Summary	Examiner	Art Unit						
	Chuck O Kendall	2122						
Th MAILING DATE of this communication appears on the cover she twith the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status 1)⊠ Responsive to communication(s) filed on <u>02 L</u>	December 1999							
	is action is non-final.							
, <u> </u>		matters prosecution as to the merits is						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims								
4) Claim(s) is/are pending in the application.								
4a) Of the above claim(s) is/are withdrawn from consideration.								
5) ☐ Claim(s) is/are allowed.								
6)⊠ Claim(s) <u>1-35</u> is/are rejected.								
7) Claim(s) is/are objected to.								
8) Claim(s) are subject to restriction and/or election requirement.								
Application Papers								
9) The specification is objected to by the Examiner.								
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.								
If approved, corrected drawings are required in reply to this Office action.								
12) The oath or declaration is objected to by the Examiner.								
Priority under 35 U.S.C. §§ 119 and 120								
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) ☑ All b) ☐ Some * c) ☐ None of:								
 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 								
Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.								
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.								
Attachment(s)								
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) 🔲 Notic	iew Summary (PTO-413) Paper No(s) e of Informal Patent Application (PTO-152)						
LC Debent and Trademada Office								

Art Unit: 2122

DETAILED ACTION

1. This action is in response to the application filed 12/02/99

Claims 1-35 have been examined.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-9,12-13,18-30, and 35 are rejected under 35 U.S.C. 102(e) as being anticipated by Alcorn et al. USPN 6,263,498 B1 hereinafter Alcorn

Regarding claim 1, Alcorn shows, creating a data file using a programming development environment on a computer system, comprising the steps of building a program to represent data file [5:34-39], compiling into a software executable [8:45-46], and running the executable to generate the data file [8:53-58].

Regarding claim 2 according to claim 1, whereby the program is built by linking a plurality of development components [5:36-39].

Regarding claim 3 according to claim 2, whereby at least one component comprises characteristic data file information [6:15-20, see DAP(data access part) client bean and server bean and JDBC(java database connectivity)].

Regarding claim 4 according to claim 3 whereby, on running the executable, at least one compiled component outputs its respective data file information into the data file. [6: 20-22,see JDBC].

Art Unit: 2122

Regarding claim 5 according to claim 4 whereby, on running the executable, at least one compiled component creates a file output stream and writes its respective data file information to the output stream. [6: 20-22, see JDBC, receiving, sending, requesting, accessing, outputting, are inputting, are forms of data manipulation which is inherent using JDBC].

Regarding claim 6 according to claim 4 whereby, on running the executable, at least one compiled component causes another component to output its respective data file information into the data file. [6: 44-48 see method invocation between Dap client and server]

Regarding claim 7 according to claim 2 wherein at least one development component comprises a graphical icon for a visual development graphical user interface. [6: 44-48, see GUI bean].

Regarding claim 8 according to claim 2 wherein the development components are Java beans. [6: 44-48, see GUI bean].

Regarding claim 9 according to claim 2 wherein the development components comprise a main component and a sub-component [6: 44-48, see client bean and server bean].

Regarding claim 12 according to claim 2 whereby the program is compiled by generating an executable component from each development component and linking the executable components together. [5:36-39].

Regarding claim 13 according to claim 12 whereby, on running a first executable component, data file information from the first executable is output before running the next and subsequent executable components. [6:5-10, see server object invoked from client object, during data access].

Regarding 18 see reasoning in 1.

Regarding 19 see reasoning in 2.

Regarding 20 see reasoning in 3.

Regarding 21 see reasoning in 4.

Regarding 22 see reasoning in 5.

Regarding 23 see reasoning in 6.

Regarding 24 see reasoning in 7.

Regarding 25 see reasoning in 8.

Regarding 26 see reasoning in 9.

Application/Control Number: 09/452,927

Art Unit: 2122

Regarding 29 see reasoning in 12.

Regarding 30 see reasoning in 13.

Regarding 35 see reasoning in 1.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims10, 11,27, & 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Alcorn et al. USPN 6,263,498 B1 as applied in claim 9 hereinafter Alcorn in view of Timbol USPN 6,237,135 B1.

Regarding claim 10, Alcorn discloses all the claimed limitations per claim 9. Alcorn doesn't explicitly disclose a form. However, Timbol does disclose a form designer within a component based development environment. [Timbol,3:19] Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Alcorn with Timbol to implement the claimed invention because, form designers make component based building more user friendly.

Regarding claim 11 according to claim 10 wherein the sub-component represents a text field on the form.

[see dialog boxes, also see Jbuilder which has forms, text field in it's component pallete 8:61-65].

Regarding 27 see reasoning in 10.

Regarding 28 see reasoning in 11.

Application/Control Number: 09/452,927

Art Unit: 2122

Claims 14-17,31-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Alcorn USPN 6,263,498 as applied in claim 1, in view of Davidson et al. USPN 6,083,276 hereinafter Davidson.

Alcorn discloses all the claimed limitations per claim 1. Alcorn doesn't explicitly disclose data file comprising mark-up information. However, Davidson does disclose data file comprising mark-up information [4: 50-52]. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Alcorn with Davidson to implement the claimed invention because, mark up information has the flexibility and simplicity of to provide the syntactic framework for configuring component based applications. [Davidson 4: 13-15].

Regarding claim 15 according to claim 14, wherein the mark-up information comprises XML [Davidson, 4: 50-52].

Regarding claim 16 according to claim 1 wherein the data file is for interpretation by a third party computer system. [Davidson 3:5].

Regarding claim 17 according to claim 16 Alcorn further discloses wherein the third party computer system comprises a dialogue management system for a computer telephony system. [dialogue management systems is interpreted as Alcorns RMI, and CORBA feature with carries out inter-communication between clients Alcorn, 5: 47-55]

Regarding 31 see reasoning in 14.

Regarding 32 see reasoning in 15.

Regarding 33 see reasoning in 16.

Regarding 34 see reasoning in 17.

Correspondence Information

Any inquires concerning this communication or earlier communications from the examiner should be directed to Chuck O. Kendall who may be reached via telephone at (703) 308-6608. The examiner can normally be reached Monday through Friday between 8:00 A.M. and 5:00 P.M. est.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, *Greg Morse can be* reached at (703) 308-4789.

Application/Control Number: 09/452,927

Art Unit: 2122

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

For facsimile (fax) send to 703-7467239 official and 703-7467240 draft

Chuck O. Kendall

Software Engineer Patent Examiner
United States Department of Commerce

GREGORY MORSE
UPERVISORY PATENT EXAME

TECHNOLOGY CENTER 2100